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Practitioner's Docket No. 782_200

PATENT

NEW APPLICATION TRANSMITTAL

Box Patent Application
Assistant Commissioner for Patents
Washington D.C. 20231

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to **Box Patent Application, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202** on November 30, 2001 under "EXPRESS MAIL" mailing label number **EL650149099US**.

Elizabeth A. VanAntwerp
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11011 U.S. PTO
09/997997

11/30/01

Transmitted herewith for filing is the patent application of:

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Title: A SUBSTRATE USABLE FOR AN ACOUSTIC SURFACE WAVE DEVICE,
A METHOD FOR FABRICATING THE SAME SUBSTRATE AND AN
ACOUSTIC SURFACE WAVE DEVICE HAVING THE SAME SUBSTRATE

1. Papers enclosed

A. Required for filing date under 37 CFR 1.53(b) (Regular) are:

- 7 Page(s) of Specification
- 1 Page(s) of Claims
- 1 Page(s) of Abstract
- 6 Sheets of Formal Drawings (Figs. 1-6)

B. Other Papers Enclosed

- ☒ A Patent Application Bibliographic Data Sheets
- ☒ A Preliminary Amendment
- ☐ An Information Disclosure Statement
- ☐ PTO Form-1449

2. Additional Papers to Follow Under Separate Cover

☒ A combined Declaration and Power of Attorney

☒ A Claim for Priority and certified copies of:

| <u>Country</u> | <u>Application Number</u> | <u>Filing Date</u> |
|----------------|---------------------------|--------------------|
| Japan | 2000-370,520 | December 5, 2000 |
| Japan | 2001-267,265 | September 4, 2001 |

☒ An Assignment Transmittal and Assignment of the invention to:

NGK Insulators, Ltd.
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3. The correspondence address for this application is: Customer No.: 025191

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4. Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i)

☐ Applicants hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

☐ Applicants hereby request that the attached application **not** be published under 35 U.S.C. 122(b).

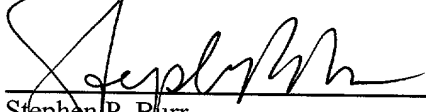
5. The filing fee has been calculated as shown below:

| | | | | |
|---|--------------|--------------|------------|-----------|
| Basic Filing fee (37 CFR §1.16(a)) | | | | \$ 740.00 |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | |
| Total Claims | 7 - 20 = | 0 | × \$ 18.00 | \$.00 |
| Independent Claims | 3 - 3 = | 0 | × \$ 84.00 | \$.00 |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | + \$280.00 | \$.00 |
| Surcharge fees (37 C.F.R. 1.16(e)--\$130.00) | | | | |
| <input checked="" type="checkbox"/> late payment of filing fee and/or | | | | |
| <input checked="" type="checkbox"/> late filing of original declaration or oath | | | | \$ 130.00 |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$ 870.00 |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. | | | | \$.00 |
| SUBTOTAL = | | | | \$ 870.00 |
| <input type="checkbox"/> Fee for processing an application filed with a specification in a non-English language (37 C.F.R. 1.17(i) and 1.52(d)--\$130.00) | | | | \$.00 |
| <input checked="" type="checkbox"/> Assignment Fee (37 CFR §1.21(h)) | | | | \$ 40.00 |
| TOTAL FEES DUE = | | | | \$ 910.00 |

**6. Payment of Fees and Authorization to Charge Additional Fees
or Credit Overpayment**

- ☐ A check in the amount of _____ is enclosed.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446. A duplicate copy of this sheet is enclosed.
- ☐ Any additional filing fees required under 37 CFR 1.16.
- ☐ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,



Stephen P. Burr
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November 30, 2001

Date

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